

Case No. 2479.1008-015 (55305CIP1)



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United States Patent and Trademark Office  
Customer Service Window, Mail Stop Amendment  
Randolph Building, 401 Dulany Street  
Alexandria, VA 22314

In re Application of: **PROCTOR, JR.**  
Serial No.: **09/997,733**  
Filed: **November 29, 2001**  
For: **SYSTEM AND METHOD FOR CONTROLLING SIGNAL  
STRENGTH OVER A REVERSE LINK OF A CDMA  
WIRELESS COMMUNICATION SYSTEM**

Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the above-identified application.

1. ☐ This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
2. ☒ This IDS is submitted under 37 C.F.R. § 1.97(c). Enclosed is a check in the amount of \$ 180.00.
3. ☐ This IDS is submitted under 37 C.F.R. § 1.97(c) and (e). No fee is required.
4. ☐ This IDS is submitted under 37 C.F.R. § 1.97(d) and (e). Enclosed is a check in the amount of \$ 130.00 to cover the petition fee.
5. ☒ The Commissioner is hereby authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. 01-0484.
6. ☒ Please associate this application with Customer No. 27975.



27975

PATENT TRADEMARK OFFICE

Date: January 17, 2006

*[Handwritten signature of Michael W. Taylor]*  
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MICHAEL W. TAYLOR  
Reg. No. 43,182



1008-015 (55305CIP1)

**UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: )  
PROCTOR, JR. )  
Serial No. 09/997,733 )  
Filing Date: November 29, 2001 )  
For: SYSTEM AND METHOD FOR )  
CONTROLLING SIGNAL STRENGTH OVER A )  
REVERSE LINK OF A CDMA WIRELESS )  
COMMUNICATION SYSTEM )

**CITATION UNDER 37 CFR '1.97**

United States Patent and Trademark Office  
Customer Service Window, Mail Stop Amendment  
Randolph Building, 401 Dulany Street  
Alexandria, VA 22314

Sir:

Attached is Form PTO-1449 listing several references for consideration in the examination of the above-identified application. In accordance with current USPTO procedures published 05 AUG 2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form 1449A are not attached. The undersigned would be happy to provide copies of these references if requested. Copies of non-U.S. patent documents, if any, are attached. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR \$1.97 and Section 609 of the MPEP.

Respectfully submitted,

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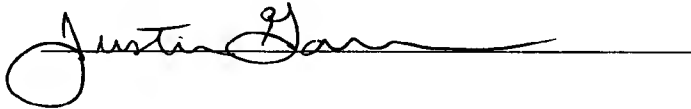
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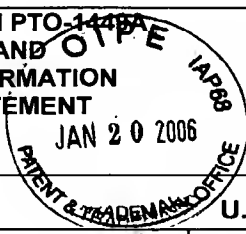
In re Patent Application of:  
**PROCTOR, JR.**  
Serial No. **09/997,733**  
Filing Date: **November 29, 2001**

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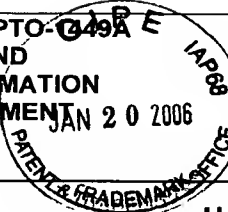
**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with DHL in a box addressed to: United States Patent and Trademark Office, Customer Service Window, Mail Stop Amendment, Randolph Building, 401 Dulany Street, Alexandria, VA 22314, on this 17<sup>th</sup> day of January, 2006.

A handwritten signature in black ink, appearing to read "Justin G. [unclear]", is written over a horizontal line.

<b>SUBSTITUTE FORM PTO-1449a</b> <b>LIST OF PATENTS AND</b> <b>APPLICANT'S INFORMATION</b> <b>DISCLOSURE STATEMENT</b>				Atty Docket: Serial No.: Applicant: Filing Date: Group:	2479.1008-015 09/997,733 Proctor, Jr. November 29, 2001		
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials		Document Number	Date	Name	Class	Sub Class	Filing Date
	AA	5,442,625	8/15/95	Gitlin et al.	370	18	
	AB	5,734,646	3/31/98	I et al.	370	335	
	AC	5,373,502	12/13/94	Turban	370	18	
	AD	6,069,883	5/30/00	Ejzak et al.	370	335	
	AE	6,088,335	7/11/00	I et al.	370	252	
	AF	5,856,971	1/5/99	Gitlin et al.	370	335	
	AG	6,418,148	7/9/02	Kumar et al.	370	468	
	AH	5,859,840	1/12/99	Tiedemann, Jr. et al.	370	335	
	AI	5,930,230	7/27/99	Odenwalder at al.	370	208	
	AJ	5,914,950	6/22/99	Tiedemann, Jr. et al.	370	348	
	AK	6,396,804	5/28/02	Odenwalder	370	209	
	AL	6,574,211	6/3/03	Padovani et al.	370	347	
	AM	6,389,000	5/14/02	Jou	370	342	
	AN	6,377,809	4/23/02	Rezaifar et al.	455	455	
	AO	6,005,855	12/21/99	Zehavi et al.	370	335	
	AP	6,064,678	5/16/00	Sindhushayana et al.	370	470	
	AQ	5,790,551	8/4/98	Chan	370	458	
	AR	5,828,662	10/27/98	Jalali et al.	370	335	
	AS	6,269,088	7/31/01	Masui et al.	370	335	
	AT	5,923,650	7/13/99	Chen et al.	370	331	
	AU	5,663,990	9/2/97	Bolgiano et al.	375	347	
	AV	5,673,259	9/30/97	Quick, Jr.	370	342	
	AW	5,784,406	7/21/98	DeJaco et al.	375	224	
	AX	5,828,659	10/27/98	Teder et al.	370	328	
	AY	5,844,894	12/1/98	Dent	370	330	
	AZ	5,910,945	6/8/99	Garrison et al.	370	324	
	BA	5,950,131	9/7/99	Vilmur	455	434	
	BB	5,991,279	11/23/99	Haugli et al.	370	311	
<b>EXAMINER:</b>				<b>DATE CONSIDERED:</b>			
<b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

**SUBSTITUTE FORM PTO-049A E**  
**LIST OF PATENTS AND**  
**APPLICANT'S INFORMATION**  
**DISCLOSURE STATEMENT**



Atty Docket:  
 Serial No.:  
 Applicant:  
 Filing Date:  
 Group:

2479.1008-015  
 09/997,733  
 Proctor, Jr.  
 November 29, 2001

**U.S. PATENT DOCUMENTS**

Examiner Initials		Document Number	Date	Name	Class	Sub Class	Filing Date
	BC	6,028,868	2/22/00	Yeung et al.	370	515	
	BD	6,078,572	6/20/00	Tanno et al.	370	335	
	BE	6,112,092	8/29/00	Benveniste	455	450	
	BF	6,134,233	10/17/00	Kay	370	350	
	BG	6,157,619	12/5/00	Ozluturk et al.	370	252	
	BH	6,161,013	12/12/00	Anderson et al.	455	435	
	BI	6,196,362	2/27/01	Darcie et al.	370	431	
	BJ	6,208,871	3/27/01	Hall et al.	455	517	
	BK	6,215,798	4/10/01	Carneheim et al.	370	515	
	BL	6,222,828	4/24/01	Ohlson et al.	370	320	
	BM	6,243,372	6/5/01	Petch et al.	370	350	
	BM	6,259,683	7/10/01	Sekine et al.	370	328	
	BO	6,262,980	7/17/01	Leung et al.	370	336	
	BP	6,272,168	8/7/01	Lomp et al.	375	206	
	BQ	6,285,665	9/4/01	Chuah	370	319	
	BR	6,307,840	10/23/01	Wheatley, III et al.	370	252	
	BS	6,366,570	4/2/02	Bhagalia	370	342	
	BT	6,373,830	4/16/02	Ozluturk	370	335	
	BU	6,373,834	4/16/02	Lundh et al.	370	350	
	BV	6,377,548	4/23/02	Chuah	370	233	
	BW	6,456,608	9/24/02	Lomp	370	335	
	BX	6,469,991	10/22/02	Chuah	370	329	
	BY	6,473,623	10/29/02	Benveniste	455	522	
	BZ	6,504,830	1/7/03	Östberg et al.	370	342	
	CA	6,519,651	2/11/03	Dillon	709	250	
	CB	6,526,039	2/25/03	Dahlman et al.	370	350	
	CC	6,532,365	3/11/03	Anderson et al.	455	437	

**EXAMINER:**

**DATE CONSIDERED:**

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>SUBSTITUTE FORM PTO-1449a</b> <b>LIST OF PATENTS AND</b> <b>APPLICANT'S INFORMATION</b> <b>DISCLOSURE STATEMENT</b>				Atty Docket: 2479.1008-015 Serial No.: 09/997,733 Applicant: Proctor, Jr. Filing Date: November 29, 2001 Group:			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials		Document Number	Date	Name	Class	Sub Class	Filing Date
	CD	6,545,986	4/8/03	Stellakis	370	318	
	CE	6,567,416	5/20/03	Chuah	370	418	
	CF	6,571,296	5/27/03	Dillon	709	250	
	CG	6,570,865	5/27/03	Masui et al.	370	342	
	CH	6,597,913	7/22/03	Natarajan	455	452	
	CI	5,642,348	6/24/97	Barzegar et al.	370	277	
	CJ						
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	CK	Chih-Lin I et al., Multi-Code CDMA Wireless Personal Communications Networks, June 18, 1005					
	CL	Chih-Lin I et al., IS-95 Enhancements for Multimedia Services, Bell Labs Technical Journal, Pages 60-87, Autumn 1996					
	CM	Chih-Lin I et al., Performance of Multi-Code CDMA Wireless Personal Communications Networks, July 25, 1995					
	CN	Liu et al., Channel Access and Interference Issues in Multi-Code DS-CDMA Wireless Packet (ATM) Networks, Wireless Networks 2, Pages 173-196, 1996					
	CO	Chih-Lin I et al., Load and Interference Based Demand Assignment (LIDA) for Integrated Services in CDMA Wireless Systems, November 18, 1996, Pages 235-241					
	CP	Budka et al., Cellular Digital Packet Data Networks, Bell Labs Technical Journal, Summer 1997, Pages 164-181					
	CQ	Cellular Digital Packet Data, System Specification, Release 1.1, January 19, 1995					
	CR	Data Standard, Packet Data Section, PN-3676.5 (to be published as TIA/EIA/IS-DATA.5), December 8, 1996, Version 02 (Content Revision 03)					
	CS	Data Service Options for Wideband Spread Spectrum Systems: Introduction, PN-3676.1 (to be published as TIA/EIA/IS-707.1), March 20, 1997 (Content Revision 1)					
	CT	Packet Data Service Option Standard for Wideband Spread Spectrum Systems, TIA/EIA Interim Standard, TIA/EIA/IS-657, July 1996					
	CU	Mobile Station-Base Station Compatibility Standard for Dual-Mode Wideband Spread Spectrum Cellular System, TIA Interim Standard, TIA/EIA/IS-95-A (Addendum to TIA/EIA/IS-95), May 1995					
	CV	Mobile Station-Base Station Compatibility Standard for Wideband Spread Spectrum Cellular Systems, TIA/EIA Standard, TIA/EIA-95-B (Upgrade and Revision of TIA/EIA-95-A), March 1999					
<b>EXAMINER:</b>				<b>DATE CONSIDERED:</b>			
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<b>OTHER ART (including Author, Title, Date, Pertinent Pages, etc.)</b>			
	CW	Network Wireless Systems Offer Business Unit (NWS OBU), Feature Definition Document for Code Division Multiple Access (CDMA) Packet Mode Data Services, FDD-1444, November 26, 1996	
	CX	Draft Text for "95C" Physical Layer (Revision 4), Part 2, Document #531-981-20814-95C, part 2 on 3GPP2 website (ftp://ftp.3gpp2.org/tsgc/working/1998/1298_Maui/WG3-TG1/531-98120814-95c,%20part%202.pdf, 1998)	
	CY	Draft Text for "95C" Physical Layer (Revision 4), Part 1, Document #531-981-20814-95C, Part 1 on 3GPP2 website (ftp://ftp.3gpp2.org/tsgc/working/1998/1298_Maui/WG3-TG1/531-98120814-95c,%20part%201.pdf)	
	CZ	Reed et al., Iterative Multiuser Detection for CDMA with FEC: Near-Single-User Performance, IEEE Transactions on Communications, Vol. 46, No. 12, December 1998, Pages 1693-1699	
	DA	Hindelang et al., Using Powerful "Turbo" Codes for 14.4 Kbit/s Data Service in GSM or PCS Systems, IEEE Global Communications Conference, Phoenix, Arizona, USA, November 3-8, 1997, Vol. II, Pages 649-653	
	DB	Kaiser et al., Multi-Carrier CDMA with Iterative Decoding and Soft-Interference Cancellation, Proceedings of Globecom 1997, Vol. 1, Pages 523-529	
	DC	Wang et al., The Performance of Turbo-Codes in Asynchronous DS-CDMA, IEEE Global Communications Conference, Phoenix, Arizona, USA, November 3-8, 1997, Vol. III, Pages 1548-1551	
	DD	Hall et al., Design and Analysis of Turbo Codes on Rayleigh Fading Channels, IEEE Journal on Selected Areas in Communications, Vol. 16, No. 2, February 1998, Pages 160-174	
	DE	High Data Rate (HDR) Solution, Qualcomm, December 1998	
	DF	Azad et al., Multirate Spread Spectrum Direct Sequence CDMA Techniques, 1994, The Institute of Electrical Engineers	
	DG	Ejzak et al., Lucent Technologies Air Interface Proposal for CDMA High Speed Data Service, Revision 0.1, May 5, 1997	
	DH	Knisely, Lucent Technologies Air Interface Proposal for CDMA High Speed Data Service, January 16, 1997	
	DI	Kumar et al, An Access Scheme for High Speed Packet Data Service on IS-95 based CDMA, February 11, 1997	
	DJ	Ejzak et al., Lucent Technologies Air Interface Proposal for CDMA High Speed Data Service, April 14, 1997	
	DK	Lucent Technologies Presentation First Slide Titled, Summary of Multi-Channel Signaling Protocol, April 6, 1997	
	DL	Lucent Technologies Presentation First Slide Titled, Why Support Symmetric HSD (Phase 1C), February 21, 1997	
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<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>		
DM	Krzymien et al., Rapid Acquisition Algorithms for Synchronization of Bursty Transmissions in CDMA Microcellular and Personal Wireless Systems, IEEE Journal on Selected Areas in Communications, Vol. 14, No. 3, April 1996, Pages 570-579	
DN	Chih-Lin I et al., Variable Spreading Gain CDMA with Adaptive Control for True Packet Switching Wireless Network, 1995, Pages 725-730	
DO	Skinner et al., Performance of Reverse-Link Packet Transmission in Mobile Cellular CDMA Networks, IEEE, 2001, Pages 1019-1023	
DP	Lau et al., A Channel-State-Dependent Bandwidth Allocation scheme for Integrated Isochronous and Bursty Media Data in a Cellular Mobile Information System, IEEE, 2000, Pages 524-528	
DQ	Elhakeem, Congestion Control in Signalling Free Hybrid ATM/CDMA Satellite Network, IEEE, 1995, Pages 783-787	
DR	Chung, Packet Synchronization and Identification for Incremental Redundancy Transmission in FH-CDMA Systems, 1992, IEEE, Pages 292-295	
DS	High Data Rate (HDR), cdmaOne optimized for high speed, high capacity data, Wireless Infrastructure, Qualcomm, September 1998	
DT	Viterbi, The Path to Next Generation Services with CDMA, Qualcomm Incorporated, 1998 CDMA Americas Congress, Los Angeles, California, November 19, 1998	
DU		
DV		
DW		
DX		
DY		
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